

# Bin Packing

Given  $n$  items with sizes  $s_1, \dots, s_n$  where

$$1 > s_1 \geq \dots \geq s_n > 0 .$$

Pack items into a minimum number of bins where each bin can hold items of total size at most 1.

## Theorem 5

*There is no  $\rho$ -approximation for Bin Packing with  $\rho < 3/2$  unless  $P = NP$ .*

# Bin Packing

## Proof

- ▶ In the partition problem we are given positive integers  $b_1, \dots, b_n$  with  $B = \sum_i b_i$  even. Can we partition the integers into two sets  $S$  and  $T$  s.t.

$$\sum_{i \in S} b_i = \sum_{i \in T} b_i \quad ?$$

- ▶ We can solve this problem by setting  $s_i := 2b_i/B$  and asking whether we can pack the resulting items into 2 bins or not.
- ▶ A  $\rho$ -approximation algorithm with  $\rho < 3/2$  cannot output 3 or more bins when 2 are optimal.
- ▶ Hence, such an algorithm can solve Partition.

## Definition 6

An asymptotic polynomial-time approximation scheme (APTAS) is a family of algorithms  $\{A_\epsilon\}$  along with a constant  $c$  such that  $A_\epsilon$  returns a solution of value at most  $(1 + \epsilon)\text{OPT} + c$  for minimization problems.

- ▶ Note that for Set Cover or for Knapsack it makes no sense to differentiate between the notion of a PTAS or an APTAS because of scaling.
- ▶ However, we will develop an APTAS for Bin Packing.

# Bin Packing

Again we can differentiate between small and large items.

## Lemma 7

*Any packing of items of size at most  $\gamma$  into  $\ell$  bins can be extended to a packing of all items into  $\max\{\ell, \frac{1}{1-\gamma}\text{SIZE}(I) + 1\}$  bins, where  $\text{SIZE}(I) = \sum_i s_i$  is the sum of all item sizes.*

- ▶ If after Greedy we use more than  $\ell$  bins, all bins (apart from the last) must be full to at least  $1 - \gamma$ .
- ▶ Hence,  $r(1 - \gamma) \leq \text{SIZE}(I)$  where  $r$  is the number of nearly-full bins.
- ▶ This gives the lemma.

Choose  $\gamma = \epsilon/2$ . Then we either use  $\ell$  bins or at most

$$\frac{1}{1 - \epsilon/2} \cdot \text{OPT} + 1 \leq (1 + \epsilon) \cdot \text{OPT} + 1$$

bins.

It remains to find an algorithm for the large items.

## Linear Grouping:

Generate an instance  $I'$  (for large items) as follows.

- ▶ Order large items according to size.
- ▶ Let the first  $k$  items belong to group 1; the following  $k$  items belong to group 2; etc.
- ▶ Delete items in the first group;
- ▶ Round items in the remaining groups to the size of the largest item in the group.

## Lemma 8

$$\text{OPT}(I') \leq \text{OPT}(I) \leq \text{OPT}(I') + k$$

### Proof 1:

- ▶ Any bin packing for  $I$  gives a bin packing for  $I'$  as follows.
- ▶ Pack the items of group 2, where in the packing for  $I$  the items for group 1 have been packed;
- ▶ Pack the items of groups 3, where in the packing for  $I$  the items for group 2 have been packed;
- ▶ ...

## Lemma 9

$$\text{OPT}(I') \leq \text{OPT}(I) \leq \text{OPT}(I') + k$$

### Proof 2:

- ▶ Any bin packing for  $I'$  gives a bin packing for  $I$  as follows.
- ▶ Pack the items of group 1 into  $k$  new bins;
- ▶ Pack the items of groups 2, where in the packing for  $I'$  the items for group 2 have been packed;
- ▶ ...



Assume that our instance does not contain pieces smaller than  $\epsilon/2$ . Then  $\text{SIZE}(I) \geq \epsilon n/2$ .

We set  $k = \lfloor \epsilon \text{SIZE}(I) \rfloor$ .

Then  $n/k \leq 2n/\lfloor \epsilon^2 n/2 \rfloor \leq 4/\epsilon^2$  (here we used  $\lfloor \alpha \rfloor \geq \alpha/2$  for  $\alpha \geq 1$ ).

Hence, after grouping we have a constant number of piece sizes ( $4/\epsilon^2$ ) and at most a constant number ( $2/\epsilon$ ) can fit into any bin.

We can find an optimal packing for such instances by the previous Dynamic Programming approach.

- ▶ cost (for large items) at most

$$\text{OPT}(I') + k \leq \text{OPT}(I) + \epsilon \text{SIZE}(I) \leq (1 + \epsilon) \text{OPT}(I)$$

- ▶ running time  $\mathcal{O}((\frac{2}{\epsilon}n)^{4/\epsilon^2})$ .